

IFW



PATENT
Customer No. 22,852
Attorney Docket No. 09086.0037-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Chris W. CICHENAS et al.) Group Art Unit: 3632
)
Application No.: 10/814,586) Examiner: Unknown
)
Filed: April 1, 2004)
)
For: METHODS AND APPARATUSES) Confirmation No.: 5862
FOR ASSEMBLING RAILINGS)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicant brings to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of all listed documents are enclosed, except for U.S. published patent applications and issued patents. The above-identified patent application was filed after June 30, 2003, and as indicated at 1276 OG 55, submission of U.S. published patent application and issued patent copies is not required with this Information Disclosure Statement.

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: October 8, 2004

By: 

Michael W. Kim
Reg. No. 51,880

INFORMATION DISCLOSURE CITATION



Atty. Docket No. 09086.0037-00000	Appln. No. 10/814,586
Applicant Chris W. CICENAS et al.	
Filing Date April 1, 2004	Group: 3632

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	942,852	12/07/09	BAEKELAND, Leo H.			
	2,067,012	01/05/37	LOETSCHER, E.C.			
	2,617,148	11/11/52	RYAN, A. O.			
	2,702,408	02/22/55	HARTLAND, D.			
	2,736,064	02/28/56	RUBIN, Louis C.			
	2,744,013	05/01/56	DORLAND et al.			
	2,759,222	08/21/56	BOWERS, H.E.			
	2,832,993	05/06/58	COX, Thomas K.			
	3,023,136	02/27/62	HIMMELHEBER et al.			
	3,095,608	07/02/63	MUNSELL, D.D.			
	3,096,297	07/02/63	PETERKIN et al.			
	3,210,451	10/05/65	MANNING, et al.			
	3,222,719	12/14/65	WAGNER et al.			
	3,360,462	12/26/67	LITTLER, Francis W.			
	3,461,496	08/19/69	WINSTEAD, T.W.			
	3,817,680	06/18/74	GULTNER et al.			
	3,888,810	06/10/75	SHINOMURA, Toshihiko			
	3,915,910	10/28/75	NAKANO et al.			
	4,003,866	01/18/77	PATURLE, René			
	4,056,591	11/01/77	GOETTLER et al.			
	4,065,413	12/27/77	MacINNIS et al.			
	4,100,328	07/11/78	GALLAGHER, James A.			
	4,209,561	06/24/80	SAWKO, Paul M.			
	4,225,640	09/30/80	ERB, Robert A.			
	4,228,116	10/14/80	COLOMBO et al.			

INFORMATION DISCLOSURE CITATION

Atty. Docket No.	09086.0037-00000	Appln. No.	10/814,586
Applicant	Chris W. CICHENAS et al.		
Filing Date	April 1, 2004	Group:	3632

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	4,279,790	07/21/81	NAKAJIMA, Yoshikazu			
	4,323,625	04/06/82	CORAN et al.			
	4,340,513	07/20/82	MOTEKI et al.			
	4,364,979	12/21/82	DUTTON, Edward I.			
	4,376,144	03/08/83	GOETTLER, Lloyd A.			
	4,510,278	04/09/85	HOETJER, / J.			
	4,528,309	07/09/85	GÖTE et al.			
	4,717,742	01/05/88	BESHAY, Alphons D.			
	4,783,493	11/08/88	MOTEGI et al.			
	4,791,020	12/13/88	KOKTA, Bohuslav V.			
	4,822,826	04/18/89	POMMIER et al.			
	4,888,573	12/19/89	DUNN, Glen A.			
	4,913,863	04/03/90	BURRAFATO et al.			
	4,968,463	11/06/90	LEVASSEUR, Jean-Pierre			
	4,999,390	03/12/91	BENTSEN, Aksel T.			
	5,075,057	12/24/91	HOEDL, Herbert K.			
	5,082,605	01/21/92	BROOKS et al.			
	5,088,910	02/18/92	GOFORTH et al.			
	5,096,046	03/17/92	GOFORTH et al.			
	5,096,406	03/17/92	BROOKS et al.			
	5,120,776	06/09/92	RAJ et al.			
	5,164,432	11/17/92	DEHENNAU et al.			
	5,213,021	05/25/93	GOFORTH et al.			
	5,217,655	06/08/93	Schmidt, Erick			
	5,268,074	12/07/93	BROOKS et al.			

INFORMATION DISCLOSURE CITATION

Atty. Docket No. 09086.0037-00000	Appln. No. 10/814,586
Applicant Chris W. CICENAS et al.	
Filing Date April 1, 2004	Group: 3632

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,406,768	04/18/95	GUISEPPE et al.			
	5,416,139	05/16/95	ZEISZLER, Dennis E.			
	5,441,801	08/15/95	DEANER et al.			
	5,480,602	01/02/96	NAGAICH, Laxmi			
	5,486,553	01/23/96	DEANER et al.			
	5,497,594	03/12/96	GIUSEPPE et al.			
	5,502,088	03/26/96	HODODI, Andrei			
	5,516,472	05/14/96	LAVER, Terry C.			
	5,539,027	07/23/96	DEANER et al.			
	5,585,155	12/17/96	HEIKKILA et al.			
	5,652,467	07/29/97	ONOSE et al.			
	5,695,874	12/09/97	DEANER et al.			
	5,759,680	06/02/98	BROOKS et al.			
	5,773,138	06/30/98	SEETHAMRAJU et al.			
	5,780,519	07/14/98	IMOTO, Saburo			
	5,783,125	07/21/98	BASTONE et al.			
	5,788,901	08/04/98	BARNARD et al.			
	5,827,462	10/27/98	BRANDT et al.			
	5,827,607	10/27/98	DEANER et al.			
	5,836,128	11/17/98	GROH et al.			
	5,851,469	12/22/98	MULLER et al.			
	5,866,264	02/02/99	ZEHNER et al.			
	5,882,564	03/16/99	PUPPIN, Guiseppe			
	5,932,334	08/03/99	DEANER et al.			
	5,948,524	09/07/99	SEETHAMRAJU et al.			

INFORMATION DISCLOSURE CITATION

Atty. Docket No. 09086.0037-00000	Appln. No. 10/814,586
Applicant Chris W. CICHENAS et al.	
Filing Date April 1, 2004	Group: 3632

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,981,067	11/09/99	SEETHAMRAJU et al.			
	5,981,631	11/09/99	RONDEN et al.			
	5,985,429	11/16/99	PLUMMER et al.			
	6,004,668	12/21/99	DEANER et al.			
	6,007,656	12/28/99	HEIKKILA et al.			
	6,011,091	01/04/00	ZEHNER, Burch E.			
	6,015,611	01/18/00	DEANER et al.			
	6,015,612	01/18/00	DEANER et al.			
	6,035,588	03/14/00	ZEHNER et al.			
	6,054,207	04/25/00	FINLEY, Mark D.			
	6,103,791	08/15/00	ZEHNER, Burch E.			
	6,106,944	08/22/00	HEIKKILA et al.			
	6,117,924	09/12/00	BRANDT, Jeffrey R.			
	6,122,877	09/26/00	HENDRICKSON et al.			
	6,141,874	11/07/00	OLSEN, Carl James			
	6,180,257	01/30/01	BRANDT et al.			
	6,207,729	03/27/01	MEDOFF et al.			
	6,210,792	04/03/01	SEETHAMRAJU et al.			
	6,248,813	06/19/01	ZEHNER, Burch E.			
	6,265,037	07/24/01	GODAVARTI et al.			
	6,274,248	08/14/01	GOTO et al.			
	6,280,667	08/28/01	KOENIG et al.			
	6,280,799	08/28/01	OKABE et al.			
	6,337,138	01/08/02	ZEHNER et al.			
	6,342,172	01/29/02	FINLEY, Mark D.			

INFORMATION DISCLOSURE CITATION

Atty. Docket No.	09086.0037-00000	Appln. No.	10/814,586
Applicant	Chris W. CICENAS et al.		
Filing Date	April 1, 2004	Group:	3632

U.S. PATENT DOCUMENTS							
Examiner Initial*		Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
		6,344,268	02/05/02	STUCKY et al.			
		6,344,504	02/05/02	ZEHNER et al.			
		6,344,509	02/05/02	MIZUTANI, Yoshinobu			
		6,357,197	03/19/02	SERINO et al.			
		6,376,582	04/23/02	IWATA et al.			
		6,379,815	04/30/02	KUSTERS, Wilhelmus Martinus Anthonius			
		6,409,952	06/25/02	HACKER et al.			
		6,420,034	07/16/02	TAKAHASHI et al.			
		6,453,630	09/24/02	BUHRTS et al.			
		6,461,743	10/08/02	TANZER et al.			
		6,464,913	10/15/02	KORNEY, Arthur F., Jr.			
		6,498,205	12/24/02	ZEHNER, Burch E.			
		6,511,757	01/28/03	BRANDT et al.			
		6,526,712	03/04/03	BROOKS et al.			
		6,531,010	03/11/03	PUPPIN, Giuseppe			
		6,569,540	05/27/03	PRESTON et al.			
		6,579,605	06/17/03	ZEHNER, Burch E.			
		6,586,503	07/01/03	GROHMAN, Martin			
		6,586,504	07/01/03	ARCHULETTA et al.			
		6,589,660	07/08/03	TEMPLETON et al.			
		6,590,004	07/08/03	ZEHNER, Burch E.			
		6,590,014	07/08/03	RUEDE, Paul			
		6,617,376	09/09/03	KORNEY, Arthur F., Jr.			
		6,632,863	10/14/03	HUTCHISON et al.			
		6,680,090	01/20/04	GODAVARTI et al.			

INFORMATION DISCLOSURE CITATION

Atty. Docket No.	09086.0037-00000	Appln. No.	10/814,586
Applicant	Chris W. CICENAS et al.		
Filing Date	April 1, 2004	Group:	3632

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	6,682,789	01/27/04	GODAVARTI et al.			
	6,682,814	01/27/04	HENDRICKSON et al.			
	6,718,704	04/13/04	PLUMMER et al.			
	6,722,082	04/20/04	PETERSON et al.			
	D488,243 S	04/06/04	BABKA et al.			

U.S. PUBLISHED PATENT APPLICATIONS

Document Number	Publication Date	Name	Class	Sub Class	Filing Date
2001/0009309	07/26/01	TAGUICHI et al.			
2001/0019749	09/06/01	GODAVARTI, Shankar			
2001/0051242	12/13/01	GODAVARTI, Shankar			
2001/0051243	12/13/01	GODAVARTI, Shankar			
2002/0005602	01/17/02	JACOBSEN, William W.			
2002/0049266	04/25/02	YASUDA et al.			
2002/0091178	07/11/02	AMIN-JAVAHERI, Hossein			
2002/0092256	07/18/02	HENDRICKSON et al.			
2002/0106498	08/08/02	DEANER et al.			
2003/0004232	01/02/03	RUEDE, Paul			
2003/0030176	02/13/03	MONOVOUKAS et al.			
2003/0032702	02/13/03	MEDOFF et al.			
2003/0036589	02/20/03	ARCHULETTA et al.			
2003/0071389	04/17/03	MANNING et al.			
2003/0154662	08/21/03	BRUCHU et al.			
2003/0176538	09/18/03	WU et al.			

INFORMATION DISCLOSURE CITATION

Atty. Docket No. 09086.0037-00000	Appln. No. 10/814,586
Applicant Chris W. CICENAS et al.	
Filing Date April 1, 2004	Group: 3632

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
	<i>Plastics Extrusion Technology and Theory</i> , Gerhard Schenkel, et al., (1963)
	<i>Pipe and Tubing Dics</i> , Vol. 9, 9.3.1 - Calibrators, pp. 448-450
	<i>Whittington's Dictionary of Plastics</i> , Lloyd R. Whittington, p. 154
	<i>The Encyclopedia of Plastics Equipment</i> , Herbert R. Simonds (1964), pp. 273-274
	<i>Plastics Waste - Recovery of Economic Value</i> , Jacob Leidner (1981) pp. iii, 28-29; 90-91; 148-149
	<i>Plastics Extrusion Technology Handbook</i> , Sidney Levy, P.E., (1923) pp. 55, 90-106, 111, 152-153
	<i>Mobil Oil Corporation v. Advanced Environmental Recycling Technologies, Inc.</i> ; Civil Action No. 92-351-JJF, 869 F. Supp. 251; 1995 U.S. Dist. LEXIS 7108; 32 U.S.P.Q.2D (BNA) 1187

Examiner	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449	Patent and Trade/k Office - U.S. Department of Commerce